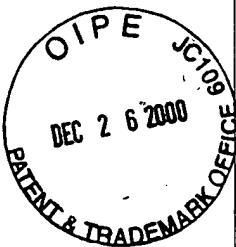


I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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GAU 1647
PATENT
Attorney Docket No.: 15280-377000US
Client Reference No.: E-286-98/0

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#12
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1/5/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Douglas E. Brenneman et al.

Application No.: 09/267,511

Filed: March 12, 1999

For: PREVENTION OF FETAL
ALCOHOL SYNDROME AND
NEURONAL CELL DEATH WITH
ADNF POLYPEPTIDES

Examiner: Turner, S.

Art Unit: 1647

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

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This IDS is being filed after the mailing date of the first Office Action, more than three months after the filing date, but prior to the Notice of Allowance or Final Office Action.

CERTIFICATION

I hereby certify that no item of information in the information disclosure statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in Section 1.56(c) more than three months prior to the filing of this information disclosure statement. I also hereby certify that the items of information filed herewith were cited for the first time in a communication in a counter application from a foreign office not more than three months prior to its submission in the U.S. application.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,


Kathleen L. Choi
Reg. No. 43,433

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